

अभिशासक परिषद् की 39^{वीं} बैठक का कार्यवृत्त
**MINUTES OF THE 39TH MEETING OF THE
BOARD OF GOVERNORS**

27 जुलाई 2013
27th JULY 2013



भारतीय प्रौद्योगिकी संस्थान रुड़की
रुड़की – 247667 (भारत)
**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
ROORKEE - 247667 (INDIA)**

INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
ROORKEE - 247 667



**MINUTES OF THE 39th MEETING OF
THE BOARD OF GOVERNORS**

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भारतीय प्रौद्योगिकी संस्थान रुड़की
रूड़की 247 667 (भारत)



दिनांक 27 जुलाई 2013 को आहूत अभिशासक परिषद् की 39^{वीं} बैठक का कार्यवृत्त

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39.1.3	अभिशासक परिषद् की जानकारी के लिए सूचनापेक्षी (रिपोर्टिंग) मामले ।	2
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39.1.10	शिक्षकों के पदों हेतु विभिन्न चयन समितियों के लिए बोर्ड के नामितों का पैनल ।	6
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39.1.12	भवन एवं निर्माण समिति की दिनांक 18.7.2013 को आयोजित हुई बैठक का कार्यवृत्त ।	6

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39.2.1	संस्थान के उन कर्मचारियों का जो किसी कदाचार में शामिल थे और उन पर दण्डात्मक कार्यवाही की गई थी, कर्मचारियों द्वारा वैधानिक पदों पर चुने जाने/नियुक्ति के सम्बन्ध में नीति।	7
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39.2.3	डा० कमल जैन, एसोसिएट प्रोफेसर, सिविल इंजीनियरिंग विभाग को प्रदत्त दण्ड की समीक्षा के करने के संबंध में अतिरिक्त सचिव भारत सरकार, मानव संसाधन विकास मंत्रालय, नई दिल्ली से प्राप्त आदेश सं० G.No.7-29/2011 TS.I दिनांक 3 जून 2013।	7
परिशिष्ट		
परिशिष्ट "अ"	नियुक्त किये गये संकाय सदस्यों और अधिकारियों की सूची।	9
परिशिष्ट "ब"	सेवानिवृत्त/इस्तीफा देने वाले संकाय सदस्यों एवं अन्य अधिकारियों की सूची।	10
परिशिष्ट "स" एवं "त"	अवकाश प्राप्त फेलोशिप, विजिटिंग फ़ैकल्टी (टर्म नियुक्ति), मानद विजिटिंग प्रोफेसर और मानद फेलो बी के लिए स्थायी समितियों की संस्तुतियां।	11-13
परिशिष्ट "थ"	वित्तीय वर्ष 2011-2012 के लिए लेखा परीक्षा प्रमाण पत्र की प्रप्ति।	14-19
परिशिष्ट "द"	इलेक्ट्रिकल इंजीनियरिंग के विभागीय पुस्तकालय से पुस्तकों के लापता होने के मामले की जांच के लिए गठित की गई समिति की संस्तुतियां।	20-21
परिशिष्ट "ध"	अभिस्नातक कार्यक्रमों के लिए संशोधित शुल्क संरचना।	22-23
परिशिष्ट "न"	शिक्षकों के पदों हेतु विभिन्न चयन समितियों के लिए बोर्ड के नामितों का पैनल। (अलग से पुस्तिका संलग्न है)	
परिशिष्ट "प"	वित्त समिति की दिनांक 24.7.2013 को आयोजित हुई 31 वीं बैठक का कार्यवृत्त।	24-36
परिशिष्ट "फ"	भवन एवं निर्माण समिति की दिनांक 18.7.2013 को आयोजित हुई 29 वीं बैठक का कार्यवृत्त।	37-38


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भारतीय प्रौद्योगिकी संस्थान रुड़की
रुड़की - 247667
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अभिशासक परिषद् की दिनांक 27 जुलाई 2013 को भारतीय प्रौद्योगिकी संस्थान रुड़की के परिषद कक्ष में पूर्वाह्न 11.00 बजे आहूत 39^{वीं} बैठक का कार्यवृत्त।

**MINUTES OF THE 39th MEETING OF THE BOARD OF GOVERNORS
HELD ON 27th JULY 2013 AT 11.00 A.M. IN THE BOARD ROOM OF
INDIAN INSTITUTE OF TECHNOLOGY ROORKEE.**

उपस्थित / PRESENT:

1. Mr. Analjit Singh	Chairman
2. Prof. Pradipta Banerji, Director, IIT Roorkee	Member
3. Prof. Vinod Kumar, IIT Roorkee	Member
4. Prof. Ravi Bhushan, IIT Roorkee	Member
5. Prof. S.P. Gupta, Dy. Director, IIT Roorkee	Permanent Invitee
6. Dr. M. Ramachandran, IAS (Retd.)	Permanent Invitee
7. Mr. Arvind K. Singhal, New Delhi	Permanent Invitee
8. Lt.Col. (Retd) A.K. Srivastava, Registrar	Secretary

Communication regarding inability to attend the meeting was received from the Principal Secretary, Technical Education, Haryana, Sri Amit Khare, Joint Secretary (ICC), MHRD, Prof. B.N. Jain, Vice Chancellor, BITS Pilani, Sri Pawan Munjal, MD & CEO, Hero Honda Motors and Sri R.S.T. Sai, CMD, THDC.

At the outset, the Chairman welcomed the members to the 39th meeting of the Board of Governors. He thanked Sri Dhanpat Singh, the former Principal Secretary, Technical Education, Haryana and the nominee of the Haryana Government to the Board for his valuable contribution in the meetings of the Board of Governors.

The agenda was then taken up.

Item No.39.1.1: Confirmation of the minutes of the 38th meeting of the Board of Governors held on 08.03.2012.

1.1.1 The Board **noted** that the minutes of the 38th meeting of the Board of Governors held on 08.03.2013 were circulated to all the members of the Board of Governors on 19.03.2013 for their comments, and that comments were replied.

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1.1.2 The Board of Governors then **confirmed** the Minutes of the 38th Meeting with the following addition in item no. 38.5.2:

5.2.2 The matter was discussed and members of the Board of Governors observed that the Board resolution for the open bid procedure had not yet been followed.

Item No. 39.1.2: Report of action taken on the minutes of the 38th Meeting of the Board of Governors held on 08.03.2013.

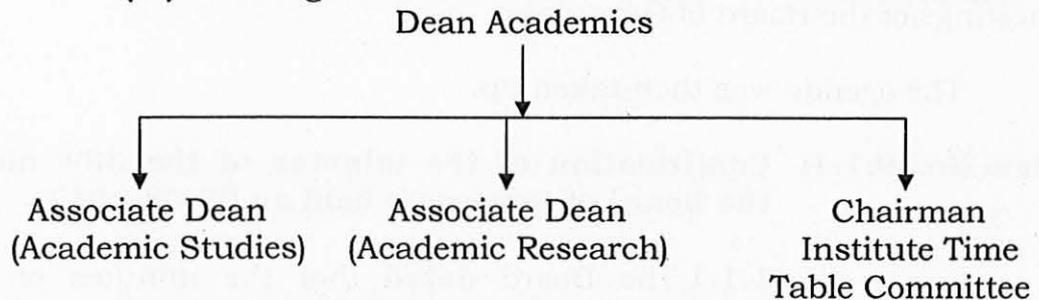
1.2.1 The Board **considered** the action taken on the Minutes of the 38th meeting of the Board of Governors held on 08.03.2013.

1.2.2 The Board **noted** the actions taken as reported.

Item No.39.1.3: Reporting matters for the information of the Board of Governors.

RESOLUTION No.BG/07/2013: THE BOARD RESOLVED THAT the matters, as listed below, were noted and recorded.

- (i)** Minutes of the 47th and 48th meeting of the Senate of the Institute held on 18.02.2013 and 20.04.2013, respectively.
- (ii)** New appointments of the Faculty and Officers as listed in **Appendix 'A'**.
- (iii)** The list of Faculty Members and other staff who have superannuated/resigned as listed in **Appendix 'B'**.
- (iv)** Re-organisation of the Academic Section as under:



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- (v) The following Faculty member has been brought on regular cadre:

Sl. No.	Name	Designation	Department	Date on which brought on Regular Cadre
1.	Dr. Vimal Kumar	Assistant Professor	Chemical Engineering	02.06.2013

Item No.39.1.4: Reporting of the actions/decisions taken by the Chairman, Board of Governors on behalf of the Board of Governors:-

1.4.1 The Board **ratified** the approvals accorded by the Chairman, Board of Governors on behalf of the Board:

RESOLUTION No. BG/08/2013: THE BOARD RESOLVED THAT the approvals accorded by the Chairman, Board of Governors on behalf of the Board are ratified as under:

- (i) Resignation/Voluntary Retirement of the following Group 'A' Staff:

Sl. No.	Name & Designation	Department	Date of Resignation/Voluntary Retirement
1.	Dr. Rajyashree Khushu Lahiri , Assistant Professor	Humanities & Social Sciences	30.11.2012
2.	Dr. Chetna Tiwari, Medical Officer (On Contract)	Institute Hospital	19.04.2013
3.	Dr. Chandahas Tyagi, Associate Profesor	Saharanpur Campus	10.07.2013 (Voluntary Retirement)
4.	Dr. Shaktidev Mukherjee, Professor	Electrical Engineering	01.08.2013 (Voluntary Retirement)

- (ii) Extension of the contractual appointment of Mrs. Nisha, Scientific Officer Grade-II in Mahatma Gandhi Central Library for a period of one year w.e.f. 29.06.2013.

- (iii) Recommendations of the Standing Committees for the award of Emeritus Fellowship, Visiting Faculty (Term appointment), Honorary Visiting Professor and Honorary Fellow 'B' (**Appendices 'C' & 'D'**).

- (iv) Recommendations of the Selection Committees to select the candidates for the following posts:

- (a) Deputy Registrar on promotion.


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(b) Assistant Professor (on contract), (For Term Appointment) in the Department of Electronics & Communication Engineering.

(c) Assistant Professor (on contract), (For Term Appointment) in the Department of Chemistry.

(d) Assistant Professor (on contract), (For Term Appointment) in the Department of Humanities & Social Sciences.

(e) Assistant Professor (on contract), (For Term Appointment) in the Department of Civil Engineering.

(v) Receipt of the audit certificate for the Financial Year 2011-12 (**Appendix 'E'**).

Item No.39.1.5: Recommendations of the Committee constituted to examine the case of missing of books from the departmental library of Electrical Engineering Department for writing off from the departmental ledgers.

1.5.1 The Board **considered** the recommendations of the Committee constituted to examine the case of missing of books from the departmental library of the Electrical Engineering Department for writing off from the departmental ledgers.

RESOLUTION No.BG/09/2013: THE BOARD RESOLVED THAT the recommendations of the committee constituted to examine the case of missing of books from the departmental library of the Electrical Engineering Department to write off the books be approved (**Appendix 'F'**).

The Board desired that the Annual Stock Verification should be done regularly every year.

Item No. 39.1.6: Writing off of 18 Computers (including accessories) of the Department of Paper Technology.

1.6.1 The Board **considered** the issue of writing off of 18 Computers (including accessories) of the Department of Paper Technology.

RESOLUTION No.BG/10/2013: THE BOARD RESOLVED THAT the under-mentioned item of the Department of Paper Technology be written off:

Sl. No.	Name of Item	Date of Purchase	Qty.	Book Value in Rs.	Salvage value in Rs.
1.	Computers (including accessories)	28.03.98	18	8,19,000.00	18,000.00

Item No.39.1.7: Implementation of the decisions taken by the Council of IITs regarding Fee for UG Students of IITs.

1.7.1 The Board **considered** the decisions taken by the Council of IITs in its 46th meeting held on 7th January 2013 for revision of Fee for Under Graduate Students of IITs.

RESOLUTION No.BG/11/2013: THE BOARD RESOLVED THAT the revised fee structure for Under Graduate programmes from Rs.50,000/- per annum to Rs.90,000/- per annum as given at **Appendix 'G'** be approved. The revised fee structure will be implemented from the session 2013-14.

Item No. 39.1.8: D.O.No.21-64/2012-TS.II dated 04 February 2013 of Sri Ashok Thakur, Secretary, Government of India, MHRD addressed to the Chairman, BOGs, All Centrally Funded Technical Institutions.

1.8.1 The Board **noted** the contents of letter D.O.No.21-64/2012-TS.II dated 4th February 2013 of Sri Ashok Thakur, Secretary, MHRD addressed to the Chairmen, BOGs of all Centrally Funded Technical Institutions regarding representation of SC/ST in Faculty, Non Teaching (Group 'A', 'B' 'C' & 'D' posts) and Students of the Institute. The Board also noted the status of SC/ST representation in the Institute as given in the agenda note.

1.8.2 After deliberation, the Board decided that a suitable reply, duly vetted by Dr. M. Ramachandran, be sent to the Secretary, MHRD.

Item No.39.1.9: Extension of tenure of 04 posts of Technical Assistants and 02 posts of Laboratory Assistants (now Junior Laboratory Assistants) on contract basis beyond 23.11.2013.

1.9.1 The Board **considered** the recommendations of the Professorial Committee of the Institute Computer Centre for extension of tenure of 04 posts of Technical Assistants and 02 posts of Laboratory Assistants (now Junior

Laboratory Assistants) on contract basis beyond 23.11.2013.

RESOLUTION No.BG/12/2013:THE BOARD RESOLVED THAT the positions be allowed to continue on contract with the proviso that the same be filled selectively as per the actual requirements, to be decided by the Director.

Item No.39.1.10: Panel of the Board's Nominees for the various Selection Committees for faculty positions.

1.10.1 The Board **considered** the panel of the Board's Nominees for the various Selection Committees for faculty positions. The criteria adopted to prepare the panel was explained to the Board.

RESOLUTION No.BG/13/2013: THE BOARD RESOLVED THAT the panel of Board's Nominees for Selection Committees for faculty positions as given at **Appendix 'H'** (separate booklet) is approved. The same will be valid for a period of three years effective from 27th July 2013.

Item No.39.1.11:Recommendations of the 39th meeting of the Finance Committee held on 24.7.2013.

1.11.1 The Board **considered** the recommendations of the 39th meeting of the Finance Committee held on 24th July 2013 as appended at **Appendix 'I'**.

RESOLUTION No.BG/14/2013: THE BOARD RESOLVED THAT the recommendations of the 39th meeting of the Finance Committee held on 24th July 2013 are approved.

Item No.39.1.12:Minutes of the 29th meeting of the Building & Works Committee held on 18.7.2013.

1.12.1 The Board **considered** the minutes of the 29th meeting of the Building & Works Committee held on 18th July 2013 as appended at **Appendix 'J'**.

RESOLUTION No.BG/15/2013: THE BOARD RESOLVED THAT the minutes of the 29th meeting of the Building & Works Committee held on 18th July 2013 are approved.

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Item No. 39.2.1: Policy with respect to appointment/ election to statutory positions by the employees of the Institute, who were involved in any misconduct, and where a penalty has been imposed on them.

2.1.1 The Board **considered** the matter of debarring those employees who have been charged with violation of the Institute's Conduct Rules and a penalty has been imposed on them, from holding statutory /elected positions in academics and administration.

RESOLUTION No.BG/16/2013: THE BOARD RESOLVED THAT any employee who, upon established procedures of enquiry, is found to have violated the Institute's conduct rules and a penalty has been imposed on him/her, must not be considered eligible for appointment to administrative and academic leadership roles, such as: Head of Department/Centre/Unit, Group Heads of Academic Group, Chairman of Statutory Committees in the Departments/ Institute, Deputy Director, Professor-in-charge/Dean, Chairman/Chief Adviser/ Chief Warden of students bodies/organisations, Chairman JEE/ GATE/JAM, Office bearers of Employees' Unions/ Faculty and Officers' Forum, various Vice-Chairmen/Associate Deans/ Dy. Chief Advisors/Wardens etc.

If an employee is already holding a leadership position, he/she will step down, if found guilty of violation of the Code of Conduct.

Item No.39.2.2: Report of the Director on the significant developments/ issues since the last meeting of the Board held on 08.03.2013.

2.2.1 The Board **considered the** Report of the Director and **noted** the various events and initiatives being implemented since the last meeting of the Board as contained in his Report.

2.2.2 The Board appreciated the achievements of the Institute since the last meeting of the Board.

Item No.39.2.3: Order F.No. 7-29/2011 TS.1 dated 3rd June 2013 received from Additional Secretary to the Government of India, Ministry of Human Resource Development, New Delhi regarding to review the punishment awarded to Dr. Kamal Jain, Associate Professor, Department of Civil Engineering.

2.3.1 The Board **considered** the order F.No. 7-29/2011 TS.1 dated 3rd June 2013 received from Additional Secretary to the Government of India, Ministry of Human

Resource Development, New Delhi regarding to review the punishment awarded to Dr. Kamal Jain, Associate Professor, Department of Civil Engineering.

2.3.2 Two e-mails sent by Dr. Kamal Jain were tabled, along side the letter received from the Ministry of Human Resource Development, and it was agreed to appoint an external agency to conduct a formal comprehensive investigation of all aspects involved in the matter and submit its findings to the Chairman, Board of Governors.

The Board requested the Chairman to appoint such an agency to carry out this investigation and submit its report to the Chairman, Board of Governors.

The meeting ended with a vote of thanks to the Chair.


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List of the Faculty Members and Officers Appointed

Sl. No.	Name	Designation	Department	Date of Joining
1.	Dr. Patel Dhavan Kumar Chaturbhai	Assistant Professor (on contract)	Electronics & Computer Engineering	18.03.2013
2.	Dr. (Mrs.) Anasuya Bandyopadhyay	Assistant Professor	Saharanpur Campus	25.03.2013
3.	Dr. Debabrata Sircar	Assistant Professor (on contract)	Biotechnology	02.04.2013
4.	Dr. Prabudh Sanyal	Assistant Professor	Physics	30.04.2013
5.	Shri Raman Lal	Deputy Registrar	Administration	22.04.2013
6.	Shri S.K. Saini	Deputy Registrar	Administration	22.04.2013
7.	Dr. Ajay Kumar Sharma	Deputy Registrar	Administration	23.04.2013


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Appendix 'B'

**List of the Faculty Members and other staff who have superannuated/
resigned:**

Sl. No.	Name	Designation	Department	Date of Superannuated/ Voluntary Retirement Resigned
1.	Dr. R.K. Lahiri	Associate Professor	Humanities & Social Sciences	30.11.2012*
2.	Dr. Surendra Kumar	Professor	Chemical Engineering	28.02.2013
3.	Shri R.K. Jain	Associate Professor	Arch. & Planning	28.02.2013**
4.	Dr. Jitendra Madan	Assistant Professor	Mechanical & Industrial Engineering	4.03.2013*
5.	Shri U.B. Chitranshi	Assistant Professor	Civil Engineering	31.03.2013
6.	Dr. S.N. Sinha	Professor	Electronics & Computer Engineering	31.03.2013
7.	Dr. Chetna Tiwari	Medical Officer (On Contract)	Institute Hospital	19.04.2013*
8.	Dr. U.C. Chaube	Professor	Water Resources Development & Management	30.04.2013
9.	Dr. Manish Kumar Chandel	Assistant Professor	Civil Engineering	5.06.2013*
10.	Dr. Arup Kumar Das	Assistant Professor (On Contract)	Mechanical & Industrial Engineering	27.06.2013*
11.	Dr. A.K. Sarje	Professor	Electronics & Computer Engineering	30.06.2013
12.	Dr. Chandrahas Tyagi	Associate Professor	Saharanpur Campus	10.07.2013

* Resigned

**Voluntary Retirement


03 SEP 2013

INDIAN INSTITUTE OF TECHNOLOGY
ROORKEE

Appendix 'C'

Subject: To consider approving the recommendations of the Standing Committee for the award of Emeritus Fellowship, Visiting Faculty (Term appointment) and Honorary Visiting Professor held 17.4.2013.

A meeting of the undermentioned Standing Committee was held on 17.4.2013:

- | | | |
|-----|---|-----------|
| 1. | Director | -Chairman |
| 2. | Deputy Director | -Member |
| 3. | Dean of Faculty Affairs | -Member |
| 4. | Prof. & Head, Civil Engg. Deptt. | -Member |
| 5. | Prof. & Head, E. & C. Engg. Deptt. | -Member |
| 6. | Prof. & Head, Mech. & Indul. Engg. Deptt. | -Member |
| 7. | Prof. & Head, WRD&M Deptt. | -Member |
| 8. | Prof. & Head, Hydrology Deptt. | -Member |
| 9. | Prof. & Head, Earthquake Engg. Deptt. | -Member |
| 10. | Prof. & Head, Met. & Mats. Engg. Deptt. | -Member |
| 11. | Prof. & Head, Physics Deptt. | -Member |
| 12. | Prof. & Head, Earth Sciences Deptt. | -Member |
| 13. | Prof. & Head, Chemistry Deptt. | -Member |
| 14. | Prof. & Head, Chemical Engg. Deptt. | -Member |
| 15. | Prof. & Head, DPT, Saharanpur Campus | -Member |

(2)

On the basis of the criteria for Appointment of Retired/Superannuating Faculty, the Faculty on contract/Visiting Faculty/Honorary Visiting Faculty in the Institute approved by Chairman, Board of Governors on the behalf of BoG vide U.O. Note No. IITR/Estt.(A)/758/E-4888 (Re-Appointment) dated 24.11.2012 and circulated to Professor & Head of all Departments/Centre & Saharanpur Campus (Annexure-I) the minutes/recommendations of the Committee for Emeritus Fellowship and Visiting Faculty (Terms Appointment) (Annexure-II) are as noted against each name:

Sl. No.	Name	Deptt./Centre	Post	Status of the application	Recommendations of the Committee
1.	Dr. A.K. Sarje	E&CE	Emeritus Fellow		Recommended for 3 years
2	Dr. R. Mitra	E&CE	Emeritus Fellow	Extension after 2 years	Recommended for 2 years
3	Dr. A.K. Ray	DPT	Emeritus Fellow		Recommended for 3 years
4	Dr. S.N. Sinha	E&CE	Emeritus Fellow		Recommended for 3 years
5	Dr. Devadutta Das	WRD&M	Emeritus Fellow	Extension after 2 years	Recommended for 2 years
6	Dr. U.C. Chaube	WRD&M	Emeritus Fellow		Recommended for 3 years
7	Dr. D.C. Singhal	Hydrology	Emeritus Fellow	Extension after 2 yrs.	Recommended for 2 years
8	Dr. H.R. Wasoni	Earthquake	Emeritus Fellow		Recommended for 3 years
9	Dr. R.D. Agrawal	MMED	Emeritus Fellow	Extension after 2 years	Recommended for 2 years
10	Dr. Jagdish Rai	Physics	Emeritus Fellow		Recommended for 3 years
11	Dr. N.K. Mehta	MIED	Emeritus Fellow	Extension after 2 years	Recommended for 2 years
12	Dr. N.M. Bhandari	Civil Engg.	Emeritus Fellow	Extension after 2 years	Recommended for 2 years


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13	Dr. Mrs. Indu Mehrotra	Civil Engg.	Emeritus Fellow	Extension after 1 years	Recommended for 2 years
14	Shri V.K. Gupta	Civil Engg.	Visiting Professor		Recommended for one year
15	Dr. Surendra Kumar	Chemical Engg.	Emeritus Fellow		Recommended for 3 years
16	Dr. Shyamal Mukerjee	Earthquake	Visiting Faculty		Recommended for one year
17	Dr. R.C. Agarwala	MMED	Visiting Professor		Recommended for one year
18	Dr. Surendra Singh	MMED	Visiting Professor		Recommended for one year
19	Dr. Kamlesh Chandra	Nanotechnology	Visiting Professor		Recommended for one year
20	Dr. S.K. Saini	Chemistry	Honorary Visiting Professor		Recommended for 3 months from 1 st Aug. 2013
21	Dr. Swapan K. Chakrabarti	DPT Saharanpur campus	Visiting Faculty		Recommended up to 30.6.2014.
22	Dr. Sushanta K. Mitra	MIED	Honorary Visiting Professor		Recommended for 2 years.

A monthly amount will be given to the above as per the approved guidelines (Annexure-I).

The Chairman, Board of Governors, Indian Institute of Technology Roorkee, is requested to kindly consider and approve the above recommendations of the Standing Committee.

The approval so accorded will be reported to the Board of Governors in its next meeting.

(Pradipta Banerji)
Director

Shri Anajit Singh,
Chairman, BoG, IIT Roorkee,
Chairman, Max India Limited,
Max House,
1, Dr. Jha Marg, Okhla Phase-III
New Delhi-110 020

U.O.NO.IITR/Estr.(A) 289 /E-4456

Dated: April 25, 2013

13 SEP 2013

**INDIAN INSTITUTE OF TECHNOLOGY
ROORKEE**

Subject: To consider approving the recommendations of the Standing Committee for the award of Honorary Visiting Professor and Honorary Fellow.

A meeting of the undermentioned Standing Committee was held on 09.05.2013:

- | | | |
|----|--|-----------|
| 1. | Director | -Chairman |
| 2. | Deputy Director | -Member |
| 3. | Dean of Faculty Affairs | -Member |
| 4. | Prof. & Head, Mechanical & Industrial Engg. Deptt. | -Member |
| 5. | Prof. & Head, Physics Department | -Member |
| 6. | Associate Dean of Faculty Affairs | -Member |

On the basis of the criteria for Appointment of Retired/Superannuating Faculty the Faculty on contract/Visiting Faculty/Honorary Visiting Faculty in the Institute approved by Chairman, Board of Governors on the behalf of BoG vide U.O. Note No. IITR/Estt.(A)/758/E-4888 (Re-Appointment) dated 24.11.2012 (Annexure-I) the recommendations (Annexure-II) of the Committee for Honorary Visiting Professor and Honorary Fellow (Term's Appointment) are as noted against each name:

Sl. No.	Name	Deptt.	Post
1.	Dr. Radhey Shyam	Physics	Honorary Visiting Professor
2.	Dr. Sangeeta Sharma	Physics	Honorary Fellow

A monthly amount will be given to the above as per the approved guidelines (Annexure-I).

The Chairman, Board of Governors, Indian Institute of Technology Roorkee, is requested to kindly consider and approve the above recommendations of the Standing Committee.

The approval so accorded will be reported to the Board of Governors in its next meeting.

Shri Anajit Singh,
Chairman, BoG, IIT Roorkee,
Chairman, Max India Limited,
Max House,
1, Dr. Jha Marg, Okhla Phase-III
New Delhi-110 020

Anajit Singh

21/5

Pradipta Banerji
13.5.13
(Pradipta Banerji)
निदेशक/डायरेक्टर
भा. प्रौ. सं. रूरकी
I.I.T. Roorkee

DOFA/R

S.P. Ch

Dated: May 14, 2013

23/5/13

U.O.NO.IITR/Estt.(A)/284 /E-4456

[Signature]
03 SEP 2013

Supdita

निदेशक कार्यालय
 इ. सं. रू. वि. वि. वि.
 रू. वि. वि.
 25/3/13

INDIAN INSTITUTE OF TECHNOLOGY, ROORKEE
 ROORKEE-247667



Subject: Approval of the Audit Certificate 2011-12 of Accounts of the Institute

I wish to bring it to your kind attention that the Institute has received the Audit certificate for the Financial Year 2011-2012 from Office of the Principal Director of Audit (Central) Lucknow, Branch at Allahabad, Allahabad. A copy of same is herewith enclosed for your kind perusal and consideration please.

Further, I wish to state that this Audit Report and Certificate of Accounts are to be placed on the table of both the houses of Parliament. Therefore, it is requested that the approval for this Audit certificate of Accounts of the Institute may kindly be accorded.

The entire matter shall be reported in the next meeting of the Finance committee and Board of Governors.

With profound personal regards,

Yours sincerely,

D.R. (A&A)/A.R. (A&A)

कुलशासक वित्त एवं योजना
 Dean, Finance & Planning
 25/3/13
 25 MAR 2013

X. Shri Analjit Singh Ji
 Chairman, BoG, I.I.T. Roorkee
 Chairman, Max India Limited,
 Max House,
 1, Dr. Jha Marg, Okhla Phase-III
 New Delhi-110020

20/3/13
 Chairman
 BoG, IIT Roorkee

S.P. Gupta
 (S. P. Gupta)
 Actg. Director

Encl: As Above

UON/DIR/IITR/84

Sd/- Bisheet
 for the Director

25/3/13
 H. C.

Dated: March 14, 2013

सं. सं. (लेटो)
 A.R. (A&A)

14

25 SEP 2013

निदेशक कार्यालय

Speed Post

(11) निदेशक कार्यालय / Director Office

भा.प्रौ.सं.सं. / IIT Roorkee

डायरी संख्या / Dy. No. 486

दिनांक / Dated 12/3/13

भौ. प्रौ. सं. रुडकी

प्राप्त दिनांक

दिनांक: 12/3/13

सत्यनिष्ठा भवन 15 -ए दयानन्द मार्ग इलाहाबाद

हस्ताक्षर / Signature

पत्र संख्या: स्वा0नि0(केन्द्रीय)/पू.ले.प.-32/2012-13/

दिनांक: 3.2013

सेवा में,

सचिव, भारत सरकार,
मानव संसाधन विकास मंत्रालय,
माध्यमिक उच्च शिक्षा विभाग,
शास्त्री भवन, नई दिल्ली - 110001

विषय: वर्ष 2011-12 के लेखों पर आधारित पृथक लेखा परीक्षा प्रतिवेदन।

महोदय,

- मै, भारतीय प्रौद्योगिकी संस्थान रुडकी के वर्ष 2011-12 के लेखों पर आधारित पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) तथा वार्षिक लेखे की प्रति अग्रसारित कर रहा हूँ।
- कृपया सुनिश्चित करें कि पृथक लेखापरीक्षा प्रतिवेदन एवं सम्बन्धित लेखे संसद के दोनों सदनों के सम्मुख प्रस्तुत हुए।
 - कृपया पृथक लेखापरीक्षा प्रतिवेदन एवं लेखे को संसद के दोनों सदनों के सम्मुख अन्तिम रूप से प्रस्तुत करने की तिथि भारत के नियंत्रक एवं महालेखापरीक्षक के साथ-साथ इस कार्यालय को भी सूचित करने का कष्ट करें।

4. कृपया पत्र की प्राप्ति सूचना दें।

संलग्नक: उपरोक्तानुसार।

भवदीय,

उपनिदेशक लेखापरीक्षा (केन्द्रीय)

दिनांक: 06.03.2013

6033/पत्र संख्या स्वा.नि.के/पू.ले.प.-32/2012-13/175

वर्ष 2011-12 के लेखों पर पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) की प्रति निदेशक, भारतीय प्रौद्योगिकी संस्थान रुडकी को आवश्यक कार्यवाही हेतु प्रेषित है। संस्थान यदि आवश्यकता अनुभव करे तो इस प्रतिवेदन का हिन्दी अनुवाद करवा सकता है परन्तु इस प्रतिवेदन के हिन्दी अनुवाद में निम्नलिखित अस्वीकरण (disclaimer) अंकित होना चाहिए:

"प्रस्तुत प्रतिवेदन मूलरूप से अंग्रेजी में लिखित पृथक लेखापरीक्षा प्रतिवेदन का हिन्दी अनुवाद है। यदि इसमें कोई विसंगति परिलक्षित होती है तो अंग्रेजी में लिखित प्रतिवेदन मान्य होगा।"

हिन्दी अनुवाद की एक प्रति इस कार्यालय को भी प्रेषित करने का कष्ट करें।

संलग्नक: उपरोक्तानुसार।

उपनिदेशक लेखापरीक्षा (केन्द्रीय)

D.R. (A&A)/A.R. (A&A)

डि.के. लोष

12/3

17 MAR 2013

03 SEP 2013

Separate Audit Report of the Comptroller & Auditor General of India on the accounts of Indian Institute of Technology, Roorkee for the year ended 31 March 2012

We have audited the attached Balance Sheet of Indian Institute of Technology, Roorkee (IITR) as on 31 March 2012, the Income & Expenditure Account and Receipts & Payments Account for the year ended on that date under Section 19(2) of the Comptroller & Auditor General's (Duties, Powers & Conditions of Service) Act, 1971 read with Section 23 (2) of the Institute of Technology Act, 1961. These financial statements are the responsibility of the IITR's Management. Our responsibility is to express an opinion on these financial statements based on our audit.

2. This Separate Audit Report contains the comments of the Comptroller & Auditor General of India (CAG) on the accounting treatment only with regard to classification, conformity with the best accounting practices, accounting standards and disclosure norms, etc. Audit observation on financial transactions with regard to compliance with the Law, Rules & Regulations (Propriety and Regularity) and efficiency-cum-performance aspects, etc., if any, are reported through Inspection Report/CAG's Audit Reports separately.

3. We have conducted our audit in accordance with auditing standards generally accepted in India. These standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatements. An audit includes examining, on test basis, evidences supporting the amounts and disclosure in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of financial statements. We believe that our audit provides a reasonable basis for our opinion.


13 SEP 2013

4. Based on our audit, we report that:

i. We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit;

ii. The Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report have been drawn up in the format approved by the Ministry of Finance, Government of India.

iii. In our opinion, proper books of accounts and other relevant records have been maintained by IITR as required under section 23 (1) of the Institute of Technology Act, 1961 in so far as it appears from our examination of such books.

iv. We further report that :

A. Balance Sheet

A.1 Fixed Assets- ₹ 1242.99 crore

As per Accounting Policy no.6(b) of Schedule 10 'Significant Accounting Policies', Institute had not charged depreciation on fixed assets which is against the guidelines of common format of accounts prescribed by the Government of India. Consequently Depreciation during the year was understated by ₹91.04 crore (As per the rate of depreciation prescribed in IT Act 1961) and fixed assets were overstated by the same amount.

B : Grant in Aid

Out of the grants -in -aid of ₹ 307.26 crore (₹120.33 crore under plan and ₹ 186.93 crore under non plan) received during the year, and taking the opening balances of ₹3.06 crore (₹2.73 crore under plan and ₹ 0.33 crore under non plan); the organization could utilize a sum of ₹ 286.95 crore (₹109.48 crore under plan and ₹177.47 crore under non plan) leaving a balance of 23.37 crore (₹13.58 crore under plan and ₹ 9.79 crore under non plan) as unutilized Grant as on 31 March 2012.

v. Subject to our observation in the preceding paragraphs, we report that the Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report are in agreement with the books of accounts.

vi. In our opinion and to the best of our information and according to the explanations given to us, the said financial statements read together with the Accounting Policies and Notes on Accounts, and subject to the significant matters stated above and other matters mentioned in Annexure to this Audit Report give a true and fair view in conformity with accounting principles generally accepted in India:

a. In so far as it relates to the Balance Sheet, of the state of affairs of the Indian Institute of Technology, Roorkee as at 31 March 2012; and

b. In so far as it relates to Income & Expenditure Account of the surplus for the year ended on the date.

For and on behalf of the C&AG of India

Place: Lucknow

Date: 04-03-2013

Principal Director of Audit (Central)

03 SEP 2013

Annexure

1. **Adequacy of Internal Audit System.**

System of Internal Audit has not been implemented properly in the Institute in absence of internal audit manual.

2. **Adequacy of Internal Control System.**

Internal Control mechanism in IITR needs to be strengthened.

3. **System of physical verification of fixed Asset**

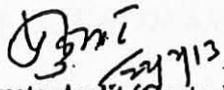
Physical verification of fixed assets has not been carried out in totality as some of the departments did not complete the physical verification.

4. **System of physical verification of stock and inventories.**

Physical verification of stock and inventories was not done in the Institute.

5. **System of regularity in payment of statutory dues.**

Statutory dues were regularly paid by the Institute.


Dy. Director Audit (Central)


03 SEP 2013

Report of the Committee constituted to examine into the reported cases of missing items along with missing of few pages of T&P Register of F&A Laboratory and shortfall of books from the departmental library of Electrical Engineering Department.

A committee consisting of the following was constituted to examine the cases of shortfall/missing of items, missing of few pages of T& P Register of F& A Laboratory and shortfall of books from the Library of Electrical Engineering department:-

- | | |
|---------------------------------|------------|
| 1. Dean Administration | - Chairman |
| 2. Head, Electrical Engg. Dept. | - Member |
| 3. Librarian, Central Library | - Member |
| 4. Dy. Registrar (Estt.B) | - Member |

Case I – Shortfall of items and missing of few pages of T& P Register(Vol.V) of F&A Lab. Electrical Engineering Department during the tenure of Sri K.K.Sharma, Senior Technical Superintendent.

Prof. & Head has informed vide his note dated 17th Sept. 2012 that DPC of the department had considered the report of the Committee constituted by the department to check each and every item of the laboratory physically on shortfall of items and lost of T&P Register(Vol.V) of F&A Lab. The above committee perused the documents and reply dated 27.12.2012 given by the Head of the department on the points raised by the General Section. Perusal of record indicates the following:-

- (i) that a complaint was lodged on 18.08.2010 at Kotwali, Roorkee.
- (ii) closing report given by the Police on 1st June, 2011.
- (iii) physical verification was carried out by the Committee constituted by the department for this purpose and it has been found and reported that after due verification, missing items/pages of the T&P Register (Vol.V) of F&A Lab. Missing items are minor assets.
- (iv) book value can not be ascertained in absence of the missing pages from the T&P Register.

Based on the position as above and taking into consideration the life time of 4-5 years of minor assets as per the Store Rules, this committee recommends to write off the missing items being the minor assets.

Further a stern warning also be issued to Sri K.K.Sharma, Senior Technical Superintendent.

14/3/13

Contd....2/-

15/3/13

03 SEP 2013

23

Case-II Missing of books from the departmental library of EED during tenure of Sri D.C. Ganguly. Technical Superintendent

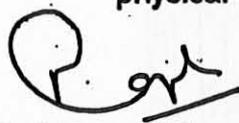
Prof. & Head, Electrical Engineering Department has forwarded the resolution of the Departmental Professorial Committee (DPC) on lost books intimating that 313 books were found missing from the departmental library. In the said note it has been mentioned that out of these books, missed books during the tenure of Sri Ganguly are 161.

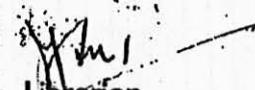
The Committee also taken into account the reply dated 29.11.2012 from Sri D.C.Ganguly with respect to show-cause notice issued to him and his subsequent representation to the Deputy Director and Director on 11.02.2013.

Rule 194 in General Financial Rules(GFR) on physical verification of library books and permissible lost was perused along with the Board Resolution BG/15/2012 on charging of depreciated value on the assets of the Institute including library books @ 10% on the basis of Written Down Value Method (WDVM). It has been noted that after long gap a formal physical verification of the Department Library was being carried out.

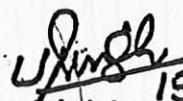
The Committee after taking all the facts and circumstances into its consideration and deliberations on the issue, recommends that:-

- (i) the depreciated cost on assets @ 10% as per the Board Resolution be recovered from Sri Ganguly.
- (ii) simultaneously, recommended that an stern warning also be issued to Sri Ganguly.
- (iii) however, since responsibility of the lost of books i.e., 313-161 = 152 books before 2008 can not be ascertained, as the departmental library remained in the charge of different persons from time to time during that period. Under the circumstances, the missing books be written off.
- (iv) the Head of Department is also advised to make a proper arrangement for the entry of books for issue and return in the departmental library and ensure the physical verification every year as per the GFR Rule 194.


 (Prof. Pramod Agarwal)
 Prof. & Head, EED


 Librarian
 M.G. Central Library


 Dy. Registrar
 Establishment B


 15.3.13
 Dean Administration


 03 SEP 2013

INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
STATEMENT OF DUES PAYABLE BY UNDERGRADUATE STUDENTS FOR THE SESSION 2013-14

	B.Tech/ B.Arch /IDD/ Integ. M.Sc./ Integ.M.Tech. 1 year & Preparatory Course		B.Tech/ B.Arch / IDD/ Integ. M.Sc. / Integ. M.Tech. 2 year.		B.Tech/ B.Arch. /IDD/Integ.M.Sc./ Integ.M.Tech. 3 Year.		B.Tech/ B.Arch./Integ M.Sc./Integ. M.Tech. 4 Year		DD /V Year		IDD / Integ. M.Tech. V Year (Except GT/ GPT) (at par with M.Tech. Final year)		Integrated M.Tech.GT/GPT V Year only (at par with M.Tech-ES III year)		Integrated M.Sc V year only (at par with M.Sc. Final year)		B. Arch V year	
	Autumn	Spring	Autumn	Spring	Autumn	Spring	Autumn	Spring	Autumn	Spring	Autumn	Spring	Autumn	Spring	Autumn	Spring	Autumn	Spring
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	
I SEMESTER FEES (TO BE PAID IN EVERY SEMESTER)																		
(a) Institute Fees																		
1. Tuition Fee- Gen/OBC Category	45000	45000	25000	25000	25000	25000	25000	25000	25000	25000	25000	5000	5000	5000	3000	3000	25000	
SC/ST* Category	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2. Examination Fee	350	350	350	350	350	350	350	350	350	350	350	350	350	350	350	350	350	
3. Registration/Enrolment Fee	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	
4. Medical Fee	50	50	50	50	50	50	50	50	50	50	50	50	50	50	50	50	50	
5. Internet & Computer charges	500	500	500	500	500	500	500	500	500	500	500	500	500	500	500	500	500	
6. Extra Curricular Activity	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	1000	
7. Medical Fund (DOSW) Discretionary Fund.	200	200	200	200	200	200	200	200	200	200	200	200	200	200	200	200	200	
Total: Gen/OBC Category	47250	47250	27250	27250	27250	27250	27250	27250	27250	27250	27250	7350	7350	7250	7250	5250	5250	27250
SC/ST Category	2250	2250	2250	2250	2250	2250	2250	2250	2250	2250	2250	2350	2350	2250	2250	2250	2250	
(b) Hostel Rent **																		
1. Hostel Rent **	2000	2000	2000	2000	2000	2000	2000	2000	2000	2400	2400	2400	2400	2400	2000	2000	2000	
2. Fan, Electricity and Water Charges(advance) to adjusted	2000	2000	2000	2000	2000	2000	2000	2000	2000	2400	2400	2400	2400	2400	2000	2000	2000	
3. Common facility in Bhawans other than rent/(BRPF)	1500	1500	1500	1500	1500	1500	1500	1500	1500	1800	1800	1800	1800	1800	1500	1500	1500	
Total	5500	5500	5500	5500	5500	5500	5500	5500	5500	6600	6600	6600	6600	6600	5500	5500	5500	
One Time payments																		
At the time of admission																		
1. Admission Fee	200	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2. Grade Card	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3. Student Welfare Fund	500	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
4. Modernization Fee	200	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
5. Identity Card	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
6. Benevolent Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
7. Alumni Fees (by non members)	1500	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
8. Course of Study	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total	2790	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c) Others																		
1. Industrial Tour	0	0	1000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2. Bhawan Fund	100	0	100	0	100	0	100	0	100	0	100	0	100	0	100	0	100	
3. Medical Insurance Premium	280	0	280	0	280	0	280	0	280	0	280	0	280	0	280	0	280	
Total	380	0	1380	0	380	0	380	0	380	0	380	0	380	0	380	0	380	
III Deposits (Refundable)																		
1. Institute Caution Deposit	2000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2. Library Security Deposit	2000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total	4000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total (I), (II) & (III)																		
Gen. Cat/OBC	89920	82750	34130	32750	33130	32750	33130	32750	34230	33850	14330	13950	14230	13850	11130	10750	33130	

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SC/ST Cat.	14020	7760	9130	7760	9130	7760	9130	7760	9130	8230	8650	8330	8650	9230	8650	8130	7750	8130	8130	
IV M.A. Charges																				
1. Miss Allowance	10500	10500	10500	10500	10500	10500	10500	10500	10500	12600	12600	12600	12600	12600	12600	10500	10500	10500	10500	10500
2. Miss Security (Refundable)	2000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. Miss Admission Fee (Non-refundable)	2000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	14500	10500	10500	10500	10500	10500	10500	10500	10500	12600	12600	12600	12600	12600	12600	10500	10500	10500	10500	10500
Grand Total																				
Gen. Cat./OBC	74420	83250	44630	43250	43630	43250	43630	43250	43630	46450	46450	26930	26550	26830	26450	21630	21250	21630	21250	43630
SC/ST Cat.	29420	18250	19630	18250	18630	18250	18630	18250	18630	21450	21450	21930	21550	21930	21450	18630	18250	18630	18250	18630

Note 1: * Tuition fee not chargeable from SC/ST Students only.
 2. PD category students will require pay fee of their main category belongs to i.e. Gen./OBC or SC/ST
 3. - Those living outside and in married Students Hostels shall be charged as applicable.
 4. Ra. 250/- on account of Dissertation fee from 100 V year / Integ. M.Tech V year and Integ. M.Sc. V year students to be charged separately at the time of submission.

ASSISTANT REGISTRAR (UGS)


 03 SEP 2013

भारतीय प्रौद्योगिकी संस्थान रुड़की
INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
रुड़की - 247 667 / ROORKEE - 247 667



वित्त समिति की दिनांक 24 जुलाई 2013 को नई दिल्ली में अपराह्न 03.00 पर आहूत 31^{वीं} बैठक का कार्यवृत्त।

Minutes of the 31st Meeting of the Finance Committee held on 24th July 2013 at 03.00 P.M. at New Delhi.

उपस्थित/PRESENT:

- | | |
|--|-------------------|
| 1. Mr. Analjit Singh | Chairman |
| 2. Prof. Pradipta Banerji, Director, IIT Roorkee | Member |
| 3. Ms. Amita Sharma, Additional Secretary(TE), MHRD | Member |
| 4. Mr. Navin Soi, Director (F), MHRD
(Representing Jt. Secretary & Financial Advisor, MHRD) | Member |
| 5. Prof. P. K. Ghosh, Dean (F&P), IIT Roorkee | Member |
| 6. Prof. S.P. Gupta, Dy. Director, IIT Roorkee | Permanent Invitee |
| 7. Lt. Col. (Retd.) A.K. Srivastava, Registrar | Secretary |

At the outset, the Chairman welcomed the members to the 31st meeting of the Finance Committee.

The agenda was then taken up.

Item No.31.1: Confirmation of the minutes of the 30th meeting of the Finance Committee held on 27.11.2012.

1.1 The Finance Committee noted that the minutes of the 30th meeting of the Finance Committee held on 27.11.2012, were circulated to all the members of the Finance Committee (vide letter No. ITR/MS/30th FC/8025 dated 26th December 2012) for their comments, and that no comments were received.

1.2 The Finance Committee then confirmed the Minutes of the 30th Meeting.

Item No.31.2: Report of Actions Taken on the Minutes of the 30th Meeting of the Finance Committee held on 27th November 2012.

2.1 The Finance Committee considered the Actions Taken on the Minutes of the 30th meeting of the Finance Committee held on 27th November 2012.

2.2 The Finance Committee noted the actions taken as reported, with the following observations:

Item Nos.30.11 & 30.12: The creation of posts is subject to the ratio specified for the Faculty versus the Non Faculty posts.

Item No. 31.3: To consider the Budget proposals of the Institute for the Financial Year 2013-14.

3.1 The Finance Committee considered the Budget proposals of the Institute for the Financial Year 2013-14.

3.2 The Finance Committee Recommended that the under mentioned Budget proposals of the Institute for the Financial Year 2013-14, as given at Appendix 'A' be approved:

1. Budget proposals for expenditure under Plan Grant (Normal).
2. Budget proposals for expenditure under Non Plan Grant

Item No. 31.4: Reporting of the receipt of Audit Certificate for the F.Y. 2011-12.

4.1 The receipt of Audit Certificate for the Financial Year 2011-12 were reported to the Finance Committee.

4.2 The Finance Committee noted that the Audit Certificate for the Financial Year 2011-12 had been received from the Principal Director of Audit (Central) Lucknow, Branch at Allahabad and the same had been approved by the Chairman, Board of Governors for submission to the MHRD (Appendix 'B').

The meeting ended with a vote of thanks to the Chair.

Appendix 'A'

INDIAN INSTITUTE OF TECHNOLOGY ROORKEE

Budget - Estimate for Plan Grant
for the Financial Year 2013-14

A. PLAN		(Rs. in lacs)
S. No.	Programme	BE 2013-14
1	Development of Laboratory and Central Infrastructure	10910.89
2	Library (Books & Journals), Computing, Networking and Multimedia facilities	2960.00
3	Ph. D and M. Tech Assistantship	3000.00
4	Building and Works	6129.16
TOTAL		23000.05


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INDIAN INSTITUTE OF TECHNOLOGY ROORKEE

Budget - Estimate for Plan Grant
for the Financial Year 2013-14

		(Rs. in lacs)
S. No.	Programme	BE 2013-14
1	Development of Laboratory and Central Infrastructure	
	(i) Laboratory Equipments in departments	9,288.89
	(ii) Analytical instruments and facilities for Centres	200.00
	(iii) Strengthening of Infrastructure for Academics, Educational Technology cell, Training Placement and Industrial Liaison	100.00
	(iv) Hospital & Administrative Establishment, Campus Security, EPABX, Transportation Section	400.00
	(v) Student Amenities, Hostel Infrastructure and Sports facilities	922.00
	Sub Total	10,910.89
2	Library Computing, Networking & Multimedia Facilities	
	a. Library : Subscription of Books, Print and e-journals in Science & Technology	1,300.00
	b. Computing, and Multimedia Facilities	1,600.00
	c. Office Automation & ERP Solution	60.00
	Sub Total	2,960.00
3	Ph. D and M. Tech Assistantship, PDF	3,000.00
	Sub Total	3,000.00
4(a)	Building & Works- On going Activities	
	(i) Sewerage System, STP and Storm Water Drainage, at Roorkee and Saharanpur Campus	389.10
	(ii) Construction of Multy Activity Centre, Overhead Tank at IIT Roorkee Campus	570.95
	(iii) Construction of 'A' Cat. Residences at Saharanpur Campus	145.00
	(iv) HT & LT cable & energy saving fittings	400.00
	(v) Widening and relaying of roads Roorkee/Saharanpur Campus	1,195.00
	(vi) New 11 KV DG sets 2X155 KVA at IIT Roorkee Campus	875.00
	Sub Total	3,575.05
4(b)	New Proposed Works:	
	(i) Renovation/Extention of Hostels, Residences & Academic & Administrative building & Furniture.	546.12
	(ii) Sports Activities Saharanpur Campus (Estimated cost Rs. 800 Lacs)	166.50
	(iii) Rewiring in Deptts./Residencies/hostels and energy saving fittings	216.45
	(iv) Central A.C. plant for Biotech Deptt. and A.C. For all Faculties	326.34
	(v) Construction of Married Students Hostel, Transit Faculty Residence, Convention Centre, Guest House, Disaster Mitigation and Management, Electric Sub Station	1,298.70
	Sub Total	2,554.11
	Total [4(a)+(b)]	6,129.16
	GRAND TOTAL (1+2+3+4)	23,000.05




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Indian Institute of Technology Roorkee
Budget Estimate for Non Plan Grant for the
Financial Year 2013-14

Sl. No.	Particulars	Budget Estimate 2013-14
1	Salary	11200.00
2	Pension	3000.00
3	Other components (Other than Salary & Pension)	3365.00
4	Non Salary Component	3441.00



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INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
BUDGET ESTIMATES
Non Plan Grant 2013-14

B. NON-PLAN

Rs. in Lakh

Sl. No.	Particulars	Budget Estimates 2013-14	Justification
1	3	10	11
	A. SALARY		
	Faculty	6800.00	Salary & Pension Components increased by 20% due to provision of 2DAs+3% increments and Rs. 6.00 crore is added for additional faculty recruitment (Aprox. 50 No.)
	Non-Faculty	4400.00	
	Total Faculty & Non-Faculty	11200.00	
	B. PENSION		
	Faculty & Non-Faculty	3000.00	
	C. Other Component (These item should not be included in Salary & Pension indicated above)		
	(i) Leave Encashment	630.00	Other Component increased by 15%
	(ii) LTC	350.00	
	(iii) Retirement Benefit	1100.00	
	(iv) Children Education Allowance	150.00	
	(v) Contribution to Pension fund	50.00	
	(vi) Contribution to New Pension Scheme	165.00	
	(vii) Professional Development Allowance (PDA) (only for Technical Institute)	430.00	
	(viii) Reimbursement (Telephone & Internet)	60.00	
	(ix) Medical	430.00	
	Total (i to ix)	3365.00	
	D. TOTAL (Salary + Pension + Other Component) i.e. (A+B+C)	17565.00	
	E. NON SALARY COMPONENT (Item wise details is to be annexed) Annexure-X	3441.00	Non Salary Component increased by 10% approx and some components retained same according to Actual expenditure 2012-13
	Total (D+E)	21006.00	




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INDIAN INSTITUTE OF TECHNOLOGY ROORKEE

Details of Headwise Non-Salary Component

(Rs. in lacs)

		Budget Estimates 2013-14
E	Part -E Non Salary Component	
1	Traveling Allowance	110.00
2	Security & Other Agencies	
	Roorkee Campus	240.00
	Saharanpur Campus (hostel)	8.00
	Greater Noida Campus	64.00
3	HRD	2.00
4	Training & Placement	9.00
5	Publication	7.00
6	Membership Fee	1.50
7	Convocation & Other Functions	24.00
8	Advertisement	15.00
9	Transport /Vehicle Maintenance & Opt	15.00
10	Legal Expenses	10.00
11	Postage & Telegram	2.80
12	Guest House	6.00
13	Audit Expenses	20.00
14	Telephones	35.00
15	Printing & Stationery	18.00
16	Contingencies	90.00
17	HINDI CELL	2.30
18	Library	31.00
19	Study Tour	35.00
20	Student Amenities (Including NCC)	25.00
21	SC/ST Student Fee	46.00
22	PG Examinations	95.00
23	UG Examinations	32.00
24	National Conference / Symposium	3.70
25	International National Conference / Symposium	0.00
26	Department Operating Cost	
	Roorkee Campus	330.00
	Saharanpur Campus	15.00
27	FIG	7.00
28	Assistantship/Fellowship/Merit-cum-Means	
	Roorkee Campus	200.00
	Saharanpur Campus	72.00
29	Computerization & Computer Support	8.00
30	Estate Maintenance	
	Roorkee Campus	670.00
	Saharanpur Campus	31.00
31	Electric Maintenance	150.00
32	Power & Fuel	
	Roorkee Campus	928.00
	Saharanpur Campus	65.00
33	Property Tax	4.20
34	Repair & Maintenance of Equipment	2.50
35	Repair & Maintenance of Furniture	11.00
36	Refund Security/etc.	0.00
	Total of C	3441.00

निदेशक कार्यालय
लुधियाना
20/3/13

INDIAN INSTITUTE OF TECHNOLOGY, ROORKEE
ROORKEE-247667



Subject: Approval of the Audit Certificate 2011-12 of Accounts of the Institute

I wish to bring it to your kind attention that the Institute has received the Audit certificate for the Financial Year 2011-2012 from Office of the Principal Director of Audit (Central) Lucknow, Branch at Allahabad, Allahabad. A copy of same is herewith enclosed for your kind perusal and consideration please.

Further, I wish to state that this Audit Report and Certificate of Accounts are to be placed on the table of both the houses of Parliament. Therefore, it is requested that the approval for this Audit certificate of Accounts of the Institute may kindly be accorded.

The entire matter shall be reported in the next meeting of the Finance committee and Board of Governors.

With profound personal regards,

Yours sincerely,

D.R.(A&A)/A.R.(A&A)

20/3/13
Chairman
BoG, IIT Roorkee

(S. P. Gupta)
Actg. Director

कुलशासक विन एव योजना
20/3
Chairman, Finance & Planning
20/3/13

X. Shri Anajit Singh Ji
Chairman, BoG, I.I.T. Roorkee
Chairman, Max India Limited,
Max House,
1, Dr. Jha Marg, Okhla Phase-III
New Delhi-110020

Encl: As Above

UON/DIR/IITR/84

Sd/- Bisheet
20/3/13

20/3/13

Dated: March 14, 2013

9 MAR 2013
सं. (लुधियाना)
A.R. (A&A)

13 SEP 2013

निदेशक कार्यालय

भौ. प्रौ. सं. रुडकी

प्राप्त किया

दिनांक: 12/3/13

Speed Post

(11) निदेशक कार्यालय / Director Office

भा. प्रौ. सं. रु. / IIT Roorkee

डायरी संख्या / Dy. No. 486

दिनांक / Dated 12/3/13

हस्ताक्षर / Signature

पत्र संख्या: स्वा0नि0(केन्द्रीय)/पू.ले.प.-32/2012-13/

दिनांक: 3.2013

सेवा में,

सचिव, भारत सरकार,
मानव संसाधन विकास मंत्रालय,
माध्यमिक उच्च शिक्षा विभाग,
शास्त्री भवन, नई दिल्ली - 110001

विषय: वर्ष 2011-12 के लेखों पर आधारित पृथक लेखा परीक्षा प्रतिवेदन।

महोदय,

मैं, भारतीय प्रौद्योगिकी संस्थान रुडकी के वर्ष 2011-12 के लेखों पर आधारित पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) तथा वार्षिक लेख की प्रति अग्रसारित कर रहा हूँ।

2. कृपया सुनिश्चित करें कि पृथक लेखापरीक्षा प्रतिवेदन एवं सम्बन्धित लेख संसद के दोनों सदनों के सम्मुख प्रस्तुत हुए।

3. कृपया पृथक लेखापरीक्षा प्रतिवेदन एवं लेखों को संसद के दोनों सदनों के सम्मुख अन्तिम रूप से प्रस्तुत करने की तिथि भारत के नियंत्रक एवं महालेखापरीक्षक के साथ-साथ इस कार्यालय को भी सूचित करने का कष्ट करें।

4. कृपया पत्र की प्राप्ति सूचना दें।

संलग्नक: उपरोक्तानुसार।

भवदीय,

उपनिदेशक लेखापरीक्षा (केन्द्रीय)

दिनांक: 06.03.2013

6033/पत्र संख्या स्वा.नि.(के)/पू.ले.प.-32/2012-13/175

वर्ष 2011-12 के लेखों पर पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) की प्रति निदेशक, भारतीय प्रौद्योगिकी संस्थान रुडकी को आवश्यक कार्यवाही हेतु प्रेषित है। संस्थान यदि आवश्यकता अनुभव करे तो इस प्रतिवेदन का हिन्दी अनुवाद करवा सकता है परन्तु इस प्रतिवेदन के हिन्दी अनुवाद में निम्नलिखित अस्वीकरण (disclaimer) अंकित होना चाहिए:

"प्रस्तुत प्रतिवेदन मूलरूप से अंग्रेजी में लिखित पृथक लेखापरीक्षा प्रतिवेदन का हिन्दी अनुवाद है।

यदि इसमें कोई विसंगति परिलक्षित होती है तो अंग्रेजी में लिखित प्रतिवेदन मान्य होगा।"

हिन्दी अनुवाद की एक प्रति इस कार्यालय को भी प्रेषित करने का कष्ट करें।

संलग्न: उपरोक्तानुसार।

उपनिदेशक लेखापरीक्षा (केन्द्रीय)

D.R.(A&A)/A.R.(A&A)

डि.के. चौधरी

डि.के. चौधरी

Finance & Planning

12/3

Dean/HR

32

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03 SEP 2013

Separate Audit Report of the Comptroller & Auditor General of India on the accounts of Indian Institute of Technology, Roorkee for the year ended 31 March 2012

We have audited the attached Balance Sheet of Indian Institute of Technology, Roorkee (IITR) as on 31 March 2012, the Income & Expenditure Account and Receipts & Payments Account for the year ended on that date under Section 19(2) of the Comptroller & Auditor General's (Duties, Powers & Conditions of Service) Act, 1971 read with Section 23 (2) of the Institute of Technology Act, 1961. These financial statements are the responsibility of the IITR's Management. Our responsibility is to express an opinion on these financial statements based on our audit.

2. This Separate Audit Report contains the comments of the Comptroller & Auditor General of India (CAG) on the accounting treatment only with regard to classification, conformity with the best accounting practices, accounting standards and disclosure norms, etc. Audit observation on financial transactions with regard to compliance with the Law, Rules & Regulations (Propriety and Regularity) and efficiency-cum-performance aspects, etc., if any, are reported through Inspection Report/CAG's Audit Reports separately.

3. We have conducted our audit in accordance with auditing standards generally accepted in India. These standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatements. An audit includes examining, on test basis, evidences supporting the amounts and disclosure in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of financial statements. We believe that our audit provides a reasonable basis for our opinion.

4. Based on our audit, we report that:

i. We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit;

ii. The Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report have been drawn up in the format approved by the Ministry of Finance, Government of India.

iii. In our opinion, proper books of accounts and other relevant records have been maintained by IITR as required under section 23 (1) of the Institute of Technology Act, 1961 in so far as it appears from our examination of such books.

iv. We further report that :

A. Balance Sheet

A.1 Fixed Assets- ₹ 1242.99 crore

As per Accounting Policy no.6(b) of Schedule 10 'Significant Accounting Policies', Institute had not charged depreciation on fixed assets which is against the guidelines of common format of accounts prescribed by the Government of India. Consequently Depreciation during the year was understated by ₹91.04 crore (As per the rate of depreciation prescribed in IT Act 1961) and fixed assets were overstated by the same amount.

B : Grant in Aid

Out of the grants -in -aid of ₹ 307.26 crore (₹120.33 crore under plan and ₹ 186.93 crore under non plan) received during the year, and taking the opening balances of ₹3.06 crore (₹2.73 crore under plan and ₹ 0.33 crore under non plan); the organization could utilize a sum of ₹ 286.95 crore (₹109.48 crore under plan and ₹177.47 crore under non plan) leaving a balance of 23.37 crore (₹13.58 crore under plan and ₹ 9.79 crore under non plan) as unutilized Grant as on 31 March. 2012.

v. Subject to our observation in the preceding paragraphs, we report that the Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report are in agreement with the books of accounts.

vi. In our opinion and to the best of our information and according to the explanations given to us, the said financial statements read together with the Accounting Policies and Notes on Accounts, and subject to the significant matters stated above and other matters mentioned in Annexure to this Audit Report give a true and fair view in conformity with accounting principles generally accepted in India:

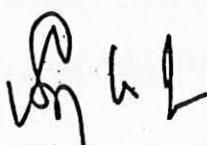
a. In so far as it relates to the Balance Sheet, of the state of affairs of the Indian Institute of Technology, Roorkee as at 31 March 2012; and

b. In so far as it relates to Income & Expenditure Account of the surplus for the year ended on the date.

For and on behalf of the C&AG of India

Place: Lucknow

Date: 04-03-2013


Principal Director of Audit (Central)


03 SEP 2013

Annexure

1. Adequacy of Internal Audit System.

System of Internal Audit has not been implemented properly in the Institute in absence of internal audit manual.

2. Adequacy of Internal Control System.

Internal Control mechanism in IITR needs to be strengthened.

3. System of physical verification of fixed Asset

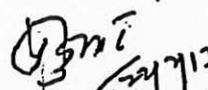
Physical verification of fixed assets has not been carried out in totality as some of the departments did not complete the physical verification.

4. System of physical verification of stock and inventories.

Physical verification of stock and inventories was not done in the Institute.

5. System of regularity in payment of statutory dues.

Statutory dues were regularly paid by the Institute.


Dy. Director Audit (Central)



03 SEP 2013

INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
ROORKEE - 247 667



Minutes of the 29th meeting of the Building & Works Committee held on 18th July 2013 at 09.30 A.M. in the Board Room of the Institute.

The following were present:

- | | |
|--|--------------------|
| 1. Prof. Pradipta Banerji, Director | -Chairman |
| 2. Prof. S.P. Gupta, Dy. Director | - Member |
| 3. Prof. Mahendra Singh, Chairman, Estate & Works | - Member |
| 4. Er. H.K. Upreti, Chief Engineer, NH, Uttarakhand PWD | - Member |
| 5. Er. Mohan Mittal, Executive Engineer, UPCL | - Member |
| 6. Prof. Ravi Kumar, Mechanical & Industrial Engineering Department, IIT Roorkee | - Member |
| 7. Prof. Rajendra Prasad, Electrical Engineering | -Member |
| 8. Er. Salek Chand, Superintending Engineer | - Member |
| 9. Prof. P. K. Ghosh, Dean, Finance & Planning | -Permanent Invitee |
| 10. Lt. Col. (Retd.) A.K. Srivastava, Registrar | -Member Secretary |

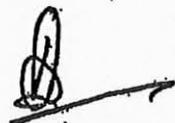
The Chairman welcomed the members of the Building & Works Committee.

Deliberations:

The items on the Agenda were discussed and the deliberations/recommendations are summarized as under:

Item No. 29.1: To confirm the minutes of the 28th meeting held on 19.11.2012.

Having received no comments, the minutes of the 28th meeting of the Building & Works Committee, as recorded and circulated, were confirmed.


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Item No. 29.2 To receive a report on the action taken to implement the decisions of the 28th meeting of the Building & Works Committee held on 19.11.2012.

The Building & Works Committee noted the actions taken on the minutes of the 28th meeting, as reported.

Item No.29.3: To consider the appointment of construction agencies for carrying out the future construction activities in the Institute.

The B&WC decided that the under-mentioned agencies be assigned the work of future construction projects of the IIT Roorkee:

(a) For major projects (above Rs. 2.00 crores)

National Buildings Construction Corporation Ltd.

(b) For minor projects (upto Rs. 2.00 crores)

Since the number of minor projects would be more, requests for proposal (RFP) may be sent to the under-mentioned agencies. After due technical and financial comparison of the respective proposals, the work may be assigned to one of these agencies:

1. U.P. Rajkiya Nirman Nigam Ltd.
2. U.P.Project Corporation Ltd.
3. U.K.Peyjal Nirman Nigam Ltd.

The meeting ended with a vote of thanks to the Chair.


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